This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.



WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLIS	HED	UNDER THE PATENT COOPERATION TREATY (PCT)
(51) International Patent Classification ⁵ : A61B 17/36, B44C 1/22	A1	(11) International Publication Number: WO 92/19165 (43) International Publication Date: 12 November 1992 (12.11.92)
(21) International Application Number: PCT/GB (22) International Filing Date: 22 April 1992		hall, Stockport, Cheshire SK7 IBA (GB).
(30) Priority data: 9108777.5 24 April 1991 (24.04.91) (71) Applicant (for all designated States except US): T TORIA UNIVERSITY OF MANCHESTER (6 Oxford Road, Manchester M13 9PL (GB). (72) Inventors; and (75) Inventors/Applicants (for US only): KING, Terer [GB/GB]; 5 Lindsay Avenue, Cheadle Hulm port, Cheshire SK8 7BQ (GB). BANNISTER, seph [GB/GB]; 101 Shaw Lane, Glossop, D SK13 9EE (GB).	HE VI GB/GI nce, Ala e, Stoo John, J	B]; FR (European patent), GA (OAPI patent), GB, GB (European patent), GN (OAPI patent), GR (European patent), HU, IT (European patent), JP, KP, KR, LK, LU, LU (European patent), MC (European patent), MG, ML (OAPI patent), MN, MR (OAPI patent), MW, NL, NL, Ck. (European patent), NO. PL, RO. RU, SD, SE, SE (European patent), SN (OAPI patent), TD (OAPI patent), TG
• .		
(54) Title: ERADICATION OF MARKS AND STA	INS BY	Y LASER
(57) Abstract		
A method of and apparetus is described for and	licatina	marks and stains at ar honeath the surface of a substrate. The tech-

A method of and apparatus is described for eradicating marks and stains at or beneath the surface of a substrate. The technique involves the use of a variable wavelength pulsed laser and an optical fibre delivery system to direct a spot of laser light at the treatment site. The laser produces an output in the form of a pulse having a duration in the range of 0.1 to 100 microseconds, at an energy level in the range of 0.5 to 5 Joules at a wavelength in the range of 400 to 900 nanometres. The output may consist of a single pulse or a sequence of pulses with a repetition rate selectable from 1 to 20 Hz. Marks in many different material may be repetited using this technique. Framples are leather used when the legions such as tottoos and "post pring the states." eradicated using this technique. Examples are leather, wood, plastics and skin lesions such as tattoos and "port wine stains".

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identity States party to the PCI on the front pages of pamphlets publishing international applications under the PCI.

			i	MI	Muli
AT	Austria	FI	1-amound		
4L	Austrudu	FR	France	MIN	Mangalia
88	Burbalos	GA	Castron	MR	Mancitalia
8E	Bulario	CB.	United Kingdom	MW	Malaws
BF	Bufalin Fate	GN	Guina	N	Nutherlands
BC:	Baigaria	GR	Greece	NO	Nurway
BJ	Benin	HU	Hungary	Pl.	Poland
BR	Brasil	IE	treland	RO	Romania
	Canada	iT	Italy	RU	Russian Federation
CA CF	Central African Republic	JP	Japan	SD	Sudin
		KP	Democratic People's Republic	SE	Sweden
CC	Congo		of Korea	SN	Senega!
CH	Switzerland	KR	Republic of Korea	SU	Soviet Union
(.1	Côte d'Ivane			TD	Chua
CAI	Сипетинг	LI	Liechtenstein	TC	Toro
CS	Czechoslowakia	LK	Sri Lanka	us	Linited States of America
DE	Germany	LU	Lazembourg	US	fillifett States in -time ica
DK	Denmark	MC	Monaco		
****	A	MC:	Madagrant		

- 1 -

ERADICATION OF MARKS AND STAINS BY LASER

THIS INVENTION concerns a method of and apparatus for eradicating marks and stains by laser and is particularly though not exclusively concerned with removal of pigmentation at or beneath the surface of a substrate.

Applications of the method are manifold, such as removal of marks and stains in hides or made up articles of leather, from wood especially in articles of furniture, from textiles and articles of clothing, and any application where pigmented or other marks or stains require elimination without damage to the surrounding substrate. A further example is the removal of skin lesions including pigmented lesions such as tattoo marks, moles, etc, and vascular lesions such as "port wine" stains.

Attempts have been made to remove pigmented marks using a Q-switched ruby laser. Such a laser generates a very short pulse duration in the region of 10 to 30 nano seconds and imposes power densities on the substrate in the region of 1,200 to 2,800 GW m⁻². Such power densities may cause damage to the surrounding substrate. Furthermore, a Q-switched ruby laser cannot deliver its treatment beam to the site using a flexible optical fibre. This is due to the very high power densities generated, and an articulated arm must be used for delivery.

Additionally, ruby lasers operate on a single wavelength system, usually 693 nanometres.

An object of the present invention is to provide a method of and apparatus for the eradication of marks and stains, using a tunable laser which is effective in removal whilst operating at power densities far less than that generated by a Q-switched ruby laser, and with longer pulse duration, and wherein a flexible optical fibre may be used to deliver the treatment beam to the site.

According to the present invention there is provided a method of eradicating marks and stains at or beneath the surface of a substrate, comprising the step of directing at said surface, laser light generated by a variable wavelength pulsed laser, the laser operating to produce an output in the form of a pulse having a duration in the range of 0.1 to 100 microseconds, at an energy level in the range of 0.5 to 5 Joules, and at a wavelength in the range of 400 to 900 nanometres.

In a preferred method the laser produces a sequence of pulses with a repetition rate in the range of 1 to 20Hz.

Still further, the laser energy is preferably delivered to the surface via a single or multiple core optical fibre having a core size in the range of 600 to $1500\mu m$.

In this way, the fibre optic delivery system may be handheld allowing for the adjustment of the spot size of the laser beam on the surface, so that it can be selected within the range of 1 to 100mm in diameter.

The basic principle behind the method is that the laser is used to irradiate the site of the mark or stain in the substrate, and the wavelength at which the laser operates is chosen so that the mark or stain absorbs the radiation whilst unmarked surrounding substrate absorbs only little and so is not damaged. In this way a selective effect is obtained.

Further according to the present invention there is provided a pulsed laser apparatus for eradicating marks and stains at or beneath the surface of a substrate, the apparatus comprising a variable wavelength pulsed laser which is tunable to produce an output in the form of a pulse having a duration in the range of 0.1 to 100 microseconds, at an energy level in the range of 0.5 to 5 Joules, and at a wavelength in the range of 400 to 900 nanometres.

The apparatus comprises a fibre optic delivery system incorporating an optical fibre having a core size in the range 600 to 1500 µm.

It is believed that the aforesaid method may be effected in many different applications such as the removal of pigmentation marks and stains at or beneath a translucent surface and also for creation of marks, for example, on self-coloured plastics material by removing pigmentation preferentially in selected areas thus to produce

identification marks. One example of such application is an electrical cable having self-coloured sheathing which, by the application by the laser light in accordance with the invention may be selectively marked.

Coloured substrates may have a pattern or printing imposed thereon, by selective eradication of pigmentation. These effects may be established at and/or just beneath the surface of the substrate thus to be visible thereat.

An embodiment of the method in accordance with the invention will now be described in relation to the removal or reduction of skin lesions such as tattoo marks and port wine stains.

A tattoo mark is produced by a dark pigment (usually blue or black) introduced into the dermis. The particles of pigment are not removed by normal cellular activity and so the mark is permanent. The colour particles which make up the tattoc usually absorb well at wavelengths in the red part of the spectrum corresponding to a wavelength in the range of 650 to 700nanometres. However, these wavelengths are not absorbed by normal unmarked skin and so are scattered such that the energy is dissipated over a relatively large area with little or no effect on normal tissue.

In the lesion itself the radiation is very efficiently absorbed and so the energy is concentrated in or around the pigmented area.

The design of the laser system is such as to increase the discrimination between tissue which forms part of the lesion, and normal unmarked tissue. For example, pulsed energy is important. A pulse of energy is deposited in the effected tissue for a time which is short when compared with the thermal relaxation time of the tissue. This means that the heat is generated locally and will not significantly spread by conduction to other, non-pigmented tissue. Thus, thermal injury to the adjacent tissue is avoided. This is an important aspect of the present invention for use on skin lesions, in contact with methods which use continuous wave lasers (including carbon dioxide and argon lasers) producing a much higher degree of thermal injury to the surrounding tissue. Furthermore, pulsed radiation generates an acoustic shock wave at the treatment site, which generally improves the effectiveness of the treatment. appears to be due to the breakup of pigmented particles into smaller pieces which can then be removed by normal cellular activity. To generate a shock wave the pulse must be of a duration in the range of 0.1 to 100 microseconds, with an optimum duration of between 1 and 5 microseconds.

A tunable or variable wavelength laser may be used to treat skin lesions in several different ways. For example, for complete removal within one or two treatment sessions, the area treated should be in the region of 1 to 3mm in diameter, per pulse. However, the consequent high energy density results in selective thermal injury and there would be some scarring, but this will heal well and is not extensive. This process compares most favourably

with existing practice using, for example, carbon dioxide lasers where there is no tissue selectivity and where removal of a large area of tissue is required with significant thermal injury and scarring. In effect, when laser apparatus is used in accordance with the invention it requires much less operator skill since it is less likely to produce accidental or co-lateral thermal damage when compared with existing processes.

In alternative eradication mode a spot size of 3 to 5mm may be treated requiring 3 to 4 sessions for each irradiated area. Consequently, this results in much less thermal damage but requires a greater number of process sessions.

Again, complete removal of pigmented lesions can be achieved with no residual scarring at all, but in this case some 5 to 7 process sessions on a larger site would be required, resulting in gradual fading of the lesion.

When the process is applied to the eradication of vascular lesions such as "port wine stains", the pulse duration must be selected in the range of 20 to 100 microseconds, whilst the wavelength should be in the region of 500 to 600 nanometres.

Selection of the operating parameters of the laser within the scope of the invention as aforesaid may be made according to the nature of the mark or stain to be removed, and of the substrate material.

The laser may be either a flash tube excited laser or a tunable solid state laser such as a titanium sapphire laser.

It is envisaged that the operating ranges of the laser may be selected automatically by a control function which responds to a selection of a mark/substrate type. In this way, semi-skilled or perhaps even unskilled technicians may be capable of eradicating marks and stains effectively.

CLAIMS

- 1. A method of eradicating marks and stains at or beneath the surface of the substrate, comprising the step of directing at said surface, laser light generated by a variable wavelength pulsed laser, the laser operating to produce an output in the form of a pulse having a duration in the range of 0.1 to 100 microseconds, at an energy level in the range of 0.5 to 5 Joules, and at a wavelength in the range of 400 to 900 nanometres.
- 2. A method according to Claim 1, wherein said pulse duration is in the range of 0.1 to 20 microseconds.
- 3. A method according to Claim 1, wherein said pulse duration is in the range of 20 to 100 microseconds.
- 4. A method according to Claim 1 or Claim 2, wherein said pulse wavelength is in the range of 400 to 800 nanometres.
- 5. A method according to Claim 1 or Claim 3, wherein said pulse wavelength is in the range of 500 to 600 nanometres.
- 6. A method according to any preceding claim, wherein the laser output consists of a sequence of pulses with a repetition rate selectable in the range of 1 to 20Hz.
- 7. A method according to any preceding claim, wherein the laser energy is delivered to the substrate surface via an optical fibre

having a core size in the range of 600 to $1500 \mu m$.

- 8. Apparatus for eradicating marks and stains at or beneath the surface of a substrate, comprising a variable wavelength pulsed laser adapted to produce an output in the form of a pulse having a duration in the range of 0.1 to 100 microseconds, at an energy level in the range of 0.5 to 5 Joules, and at a wavelength in the range of 400 to 900 nanometres.
- 9. Apparatus according to Claim 8, wherein said pulse duration is in the range of 0.1 to 20 microseconds.
- 10. Apparatus according to Claim 8, wherein said laser is adapted to produce a pulse of duration in the range of 20 to 100 microseconds.
- 11. Apparatus according to Claim 8 or Claim 9, wherein said laser is adapted to produce a pulse of wavelength in the range of 400 to 800 nanometres.
- 12. Apparatus according to Claim 8 or Claim 10, wherein said laser is adapted to produce a pulse of wavelength in the range of 500 to 600 nanometres.
- 13. Apparatus according to Claim 8, in which said laser is adapted to produce a sequence of pulses at a repetition rate selectable from 1 to 20Hz.

- 14. Apparatus according to any one of Claims 8 to 13, including an optical fibre connected to the output of the laser for delivery of said laser light, and having a core size in the range of 600 to $1500\mu m$.
- lesions such as tattoo marks, moles, etc. and vascular lesions such as "port wine stains", the method comprising the steps of directing at the treatment site, laser light generated by a variable wavelength pulsed laser, the laser operating to produce an output in the form of a pulse having a duration in the range of 0.1 to 100 microseconds, at an energy level in the range of 0.5 to 5 Joules, and at a wavelength in the range of 400 to 900 nanometres.
- 16. A method according to Claim 15, wherein said pulse duration is in the range of 0.1 to 20 microseconds for removal of pigmented lesions.
- 17. A method according to Claim 15, wherein said pulse duration is in the range of 20 to 100 microseconds for removal of vascular lesions.
- 18. A method according to Claim 15 or Claim 16, wherein said pulse wavelength is in the range of 400 to 800 nanometres for pigmented lesions.
- 19. A method according to Claim 15or Claim 17, wherein

said pulse wavelength is in the range of 500 to 600 nanometres for vascular lesions.

- 20. A method according to Claim 15, wherein said pulse duration is in the range of 1 to 5 microseconds for pigmented lesions.
- 21. A method according to any one of Claims 15 to 20, wherein the laser energy is delivered to the lesion site via an optical fibre having a core size in the range of 600 to $1500\mu m$.
- 22. A method according to Claim 21, wherein the optical fibre delivery system is hand-held thus to allow adjustment of the spot size of the laser beam on the lesion site and thus in turn to determine intensity of the energy per pulse generated at the site.

INTERNATIONAL SEARCH REPORT International Application No

PCT/GB 92/00739

I CLASSII	ICATION OF SUBJE	CT MATTER (if several classification	symbols apply, l	odicate all) 6	
According Int.C	to International Patent	Classification (IPC) or to both National	Classification an	1PC 22	•
<u> </u>					
II. FIELDS	SEARCHED	Malana Dam	mentation Search		
		Mibibum Docu	Classification S		
Classificat	ion System		Classification	y 110013	
Int.C	1.5	A 61 B	B 44 C	 	
		Documentation Searched oth to the Extent that such Documen	er than Minimum is are Included in	Documentation the Fields Searched [®]	
		D TO BE DELEVANT			
	MENTS CONSIDERE	D TO BE RELEVANT 9 cument, 11 with Indication, where appro-	printe of the rele	7n1 02552055 12	· Retevant to Claim No.13
Category *	Citation of Do	cument, with indication, where appro-	A.10. OI 180 1616	7.7	•
x	Baltim M S. S	ence on Lasers and El ore, Maryland, 21-24 OBEY et al.: "Flashla ent of port wine stai	May 1985, mp-pumped	DSA/IEEE, dye-laser	1,2,4,5 ,7-9,11 ,12,14
Y					3,10
Y	US,A,4	829262 (H. FURUMOTO) see column 1, line 1	9 May - column	5. line 57	3,10
A	. 1303,			K. I	1,2,4-9 ,13,14
A	EP,A,O INDUST docume	377050 (SUMITOMO ELE RIES, LTD) 11 July 19 nt	90, see th	¦ pe whole	1,7,8, 14
	•		-/-		;
		••		,	•
					!
		•			:
,		•		Ï	
	l categories of cited do		or prior	nument published after the internative date and not in conflict with it	ne application but
"A" document defining the general state of the art which is not cited to u considered to be of particular relevance invention			understand the principle or theory	y underlying the	
E earlier document but published on or after the international			of particular relevance: the classes considered novel or cannot be	med invention	
T. document which may throw doubts on priority claim(s) or involve 2			of particular relevance; the claimed invention		
dta	ation or other special re	ason (as specified)	toddes	na considered to involve an invent	ive step when the
other means ments, s		is combined with one or more of such combination being obvious to	a person skilled		
and demonstrated prior to the international filing date but			member of the same patent family		
IV. CERTIFICATION			. —		
Date of the	Actual Completion of t	he International Search	Date of	Mailing of this International Sear	ch Report
•	30-06-1	992		. 07. 92	
Internations	d Searching Authority		Signatur	of Authorized Officer	
	EUROPEA	AN PATENT OFFICE		Aaria Peis Man	te Per

International Application No.

Page 2 PCT/GB 92/00739

A A	EP,A,0172490 AND DEVELOPM 1, line 1 - page 16, lin WO,A,9012545 November 199 16 Physics in M December 199 al.: "The '1 designed to laser treatm 1627-1630	ent, with Indicate (MEDIC MENT CORP page 6, ne 3 (DERMA 00, see p Medicine 37, (Bris light tou	AL LASEI .) 26 For a line 24; LASE LTI age 2, and Bio tol, GB chi: a chi: a cf	R RESEARGE page 1:	CH 1986, see 1, line 9 - page 8, - page 8, I. 32, no HENDERSON Ogy handp	page		1-14 1,6,13 1,7,8,14
A A	EP.A,0172490 AND DEVELOPM 1, line 1 - page 16, lin WO,A,9012545 November 199 16 Physics in M December 198 al.: "The 'l' designed to laser treatm	ent, with Indicate (MEDIC MENT CORP page 6, ne 3 (DERMA 00, see p Medicine 37, (Bris light tou	AL LASEF .) 26 Fe line 24; LASE LTI age 2, and Bio tol, GB	R RESEAR(bruary : page 1:) 1 line 10	CH 1986, see 1, line 9 - page 8, - page 8, I. 32, no HENDERSON Ogy handp	page line . 12,		1-14 1,6,13 1,7,8,
A	AND DEVELOPM 1, line 1 - page 16, lin WO,A,9012545 November 195 16 Physics in Market 198 al.: "The 'l' designed to laser treatm	page 6, ne 3 	LASE LTI age 2, and Bio tol, GB	page 1: line 10 - logy, vo A.R. Idermatole	page 8, 1. 32, no HENDERSON Ogy handp	line . 12, et		1,6,13
	wo,A,9012545 November 199 16 Physics in M December 198 al.: "The 'l' designed to laser treatm	e 3 (DERMA 90, see p dedicine 37, (Bris	LASE LTI age 2, and Bio tol, GB tol: a (line 10 · logy, vo), A.R. l	- page 8, I. 32, no IENDERSON ogy handp I safety	line . 12, et		1,7,8,
A	Physics in M December 198 al.: "The 'l designed to laser treatm	3/, (Bris light tou	ch!: a (dermatol	gy handp	iece		
ļ				, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	, pages	of ;		
!					1		•	
				J				
								. •
				·				
							·	
							:	
	·							

INTERNATIONAL SEARCH REPORT

rnational application No.

PCT/GB92/00739

Box I XC	bservations where certain claims were found unsearchable (Continus	ation of item 1 of first sheet)
This intern	national search report has not been established in respect of certain claims un	nder Article 17(2)(a) for the following reasons:
) L	laims Nos.: 15 - 22 ceause they relate to subject matter not required to be searched by this Auth (Se see Rule 39.1(iv) - PCT:	ority, namely:
	od for treatment of the human or animal body ell als diagnostic methods.	by surgery or therapy,
1 — be	laims Nos.: cause they relate to parts of the international application that do not comply extent that no meaningful international search can be carried out, specifical	y with the prescribed requirements to such
3.	aims Nos.: cause they are dependent claims and are not drafted in accordance with the s	cond and third sentences of Rule 6.4(a).
Box II O	bservations where unity of invention is lacking (Continuation of item	2 of first sheet)
	ational Searching Authority, found multiple inventions in this international a	
		1.
		1
1. As	all required additional search fees were timely paid by the applicant, this introchable claims.	emational search report covers all
,	all constable plains and be seen to the	
of:	all searchable claims could be searches without effort justifying an additional see.	fee, this Authority did not invite payment
-		
3. As	only some of the required additional search fees were timely paid by the apperers only those claims for which fees were paid, specifically claims Nos.: .	licant, this international search report
4. No	required additional search fees were timely paid by the applicant. Consequenticted to the invention first mentioned in the claims; it is covered by claims	tly, this international search report is Nos.:
		·
	· .	
Remark on P	The additional search fees w	ere accompanied by the applicant's protest.
	No protest accompanied the	payment of additional search fees.

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

GB 9200739

58731 SA

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report.

The members are as contained in the European Patent Office EDP file on 16/07/92

The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Pater men	t family ober(s)	Publication date
US-A- 4829262	09-05-89	US-A-	5066293	19-11-91
EP-A- 0377050	11-07-90	WO-A-	8912239	14-12-89
EP-A- 0172490	26-02-86	JP-A- US-A-	61058673 4733660	25-03-86 29-03-88
WO-A- 9012545	01-11-90	AU-A-	5422490	16-11-90
				. •
		,		